# Preparation and Thermal Conductivity of Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub> Ceramic Material

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 $Dy_2Ce_2O_7$  ceramic material was prepared by solid reaction method at 1600 °C for 10 h. The phase composition, microstructure, and thermal conductivity of this material were investigated. XRD results reveal that single-phase  $Dy_2Ce_2O_7$  with fluorite structure was synthesized successfully. Microstructure of  $Dy_2Ce_2O_7$  was dense and no other unreacted oxides or interphase existed in the interfaces between grains. Because of phonon scattering by oxygen vacancies and difference in atomic mass between substitutional atoms and host atoms, thermal conductivity of  $Dy_2Ce_2O_7$  is lower than that of 8YSZ, which implies that this ceramic can be used as novel candidate materials for thermal barrier coatings in the future.

Keywords	Ceramic material, Thermal barrier coatings, Thermal		
conductivity, Phonon scattering			

## 1. Introduction

Thermal barrier coatings (TBCs) of partly Y<sub>2</sub>O<sub>3</sub>-stabilized ZrO<sub>2</sub> (PYSZ) films are widely used to protect hot section parts of advanced turbines (Ref 1). Their continued development is essential for improving the efficiency and performance of gas turbines by allowing the inlet gas temperature to be increased further (Ref 2). However, zirconate-based ceramic is limited to applications below 1200 °C due to its sintering resistance and phase structure stability during long-term service (Ref 3, 4). In order to further increase the operating efficiency, it is urgently needed to develop new thermal barrier coating materials with a significantly lower thermal conductivity than YSZ for further improvements in engine's performance to increase gas inlet temperatures to 1650 °C or higher. Two important groups of candidate materials, one based on the co-doped of yttriastabilized zirconia (YSZ) with one or more metal oxides and the other on the rare-earth zirconate ceramics, have been developed for advanced gas-turbine engines, which are intended to operate at temperatures as high as possible (Ref 5, 6).

The rare earth zirconates with general formula  $Ln_2Zr_2O_7$ (Ln = rare earth elements) with pyrochlore structures or defect fluorite-type structures show promising thermophysical properties. The thermal conductivities of  $Ln_2Zr_2O_7$  (Ln = La, Nd, Sm, Eu, Gd, Dy, etc) ceramics range from 1.1 to 1.2 W/m·K, which are lower than that of YSZ (Ref 4, 7–9). Because of their promising thermophysical properties, efforts have also been made to investigate the co-doped  $Ln_2Zr_2O_7$  ceramics with one or more metal oxides in recent years (Ref 4, 10–13). However, they have relative low coefficients of thermal expansion (CTEs) resulting in high thermal stress in TBC applications, which is harmful for TBC's performance (Ref 14–16).

It is well known that CeO<sub>2</sub> has high thermal expansion coefficient because of its lower melting point compared to ZrO<sub>2</sub>, which indicates that the thermal expansion coefficient may be improved by the substitution of CeO<sub>2</sub> for ZrO<sub>2</sub>. That is, the Ln<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub> (Ln represents rare earth elements, too) ceramics may have larger thermal expansion coefficients than corresponding Ln<sub>2</sub>Zr<sub>2</sub>O<sub>7</sub> ceramics. Thermophysical properties of La<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub> and Nd<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub> ceramics have been reported by Qiang et al. Results show that thermal conductivities of La<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub> and Nd<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub> are lower than that of YSZ, while their thermal expansion coefficients are higher than that of La<sub>2</sub>Zr<sub>2</sub>O<sub>7</sub> and Nd<sub>2</sub>Zr<sub>2</sub>O<sub>7</sub> ceramics. Moreover, they also show better phase stability at high temperatures (Ref 17, 18). These properties indicate sufficiently that La<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub> and Nd<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub> can be explored as new thermal barrier coating materials. Patwe et al.'s research (Ref 19) results show that thermal expansion coefficient of  $Gd_2Ce_2O_7$  is  $13.8 \times 10^{-6}/K$ , which is greater than that of  $Gd_2Zr_2O_7$  (11.6 × 10<sup>-6</sup>/K). However,  $Dy_2Ce_2O_7$ ceramic for thermal barrier coatings has not been investigated up to now. In this research, Dy2Ce2O7 ceramic was synthesized by solid state reaction and the microstructure and thermal conductivity of this ceramic were examined.

# 2. Experimental

Samples of  $Dy_2Ce_2O_7$  were synthesized by means of the solid state reaction method, using  $CeO_2$  (Rare-Chem Hi-Tech Co., Ltd, Hui zhou, Guangdong, China, purity  $\ge 99.99$ ),  $Dy_2O_3$  (Rare-Chem Hi-Tech Co., Ltd, purity  $\ge 99.99\%$ ) as the starting materials. After mixing the constituents thoroughly in an agate mortar, the powder mixtures were calcined at 800 °C for 5 h in air. Then the powders were uniaxially cold

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pressed into pellets, and the pellets were placed on cerium tiles and sintered at 1600  $^{\circ}$ C for 10 h. The pellets were subsequently cooled in air from 1600  $^{\circ}$ C in the end.

Phase composition analysis of the synthesized samples were determined by x-ray diffractometry (XRD, X'Pert PRD MPD Holand) with Ni filtered CuK $\alpha$  radiation (0.1542 nm) at the scanning rate of 4°/min. The morphology of fractured cross sections was analyzed using scanning electron microscope (SEM, HITACHI S-4800).

The thermal diffusivities  $(\lambda)$  of synthesized samples were measured using laser-flash method (Model FlashLine<sup>TM</sup>3000, Anter USA) in the range between ambient and 800 °C in an argon atmosphere. The sample dimension for thermal diffusivity measurement was about 12.7 mm in diameter and about 1 mm in thickness. Before thermal diffusivity measurement, both the front and the back faces of the samples were coated with a thin layer of graphite. These coatings were done to prevent direct transmission of laser beam through the translucent specimens. The thermal diffusivity measurement of the specimens was carried out three times at 200, 400, 600, and 800 °C, respectively. The specific heat capacity  $(C_n)$  as a function of temperature was calculated from the heat capacity data of the constituent oxides of Dy2Ce2O7, in conjunction with the Neumann–Kopp rule (Ref 20, 21). The thermal conductivity (k) of the specimen was calculated by Eq 1 with specific heat capacity  $(C_p)$ , density  $(\rho)$ , and thermal diffusivity  $(\lambda)$ .

$$k = \lambda \cdot \rho \cdot C_p \tag{Eq 1}$$

Because the sintered specimen was not full dense, the measured values of thermal conductivities were modified for the actual value  $k_0$  using Eq 2, where  $\phi$  is the fractional porosity and the coefficient 4/3 is used to eliminate the effect of porosity on actual thermal conductivity (Ref 22).

$$k_{k_0} = 1 - \frac{4}{3}\phi$$
 (Eq 2)

## 3. Results and discussion

### 3.1 XRD

The XRD pattern of Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub> prepared by solid reaction is shown in Fig. 1. Figure 1 indicates that the XRD pattern of the prepared ceramic is coincident with the standard spectrum of Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub> and no other phases exist in this product. It is well known that there are two kinds of crystal structure of A2B2O7 oxides, which are pyrochlore structure and fluorite structure, respectively. There are two additional weak peaks between  $2\theta = 40^{\circ}$  and  $50^{\circ}$  in XRD patterns of pyrochlore structure, which can help us to distinguish the fluorite and pyrochlore structures (Ref 17). Early research results revealed that the ionic radius ratio,  $RR = (r_A^{3+}/r_B^{4+})$  of oxides with the type of  $A_2^{3+}B_2^{4+}O_7$ , and the oxygen parameter (x) govern the formation and phase stability of these oxides. Pyrochlore oxides can form for RR = 1.46 to 1.78 at one atmosphere, and fluorite oxides will form if RR is lower than 1.46 (Ref 23, 24). The value of RR of Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub> is only 0.94, which also indicate that the synthesized sample has fluorite structure. It can be concluded that pure Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub> ceramics with fluorite structure are synthesized in this research according to the XRD results and the value of RR.



**Fig. 1** XRD pattern of Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub>



Fig. 2 Microstructure of Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub>

#### 3.2 SEM

Figure 2 shows the typical microstructure of  $Dy_2Ce_2O_7$  prepared by solid reaction synthesis. As can be seen from Fig. 2(a), its microstructure is very dense, but some apparent pores were found. The density of the sample determined by



Fig. 3 EDS pattern of Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub>

Table 1Element atomic ratio of Dy2Ce2O7

Element	Dy	Ce	0
Atomic ratio (%)	17.67	18.66	63.67

Archimedes method with an immersion medium of deionized water is 7.558 g/cm<sup>3</sup>, the relative density of  $Dy_2Ce_2O_7$  ceramic sample is 98.8%. Figure 2(b) showed a high-magnification scanning electron micrograph of the Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub> ceramic. It was observed that the interfaces between particles were very clean and no other interphases or unreacted oxides existed in the interfaces, which is shown in Fig. 2(b). The EDS microanalysis pattern in Fig. 3 performed on selected area revealed the presence of mixed oxide phases for Dy-Ce-O. The results of element atomic ratios of these prepared products are displayed in Table 1. As can be seen from Table 1 that the element atomic ratios are consistent with the stoichiometry ratios making up to Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub>. Results of EDS analysis displayed in Fig. 3 and Table 1 also imply that pure Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub> ceramic was synthesized successfully which is consistent with XRD pattern of Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub>.

#### 3.3 Thermal Conductivity

The specific heat capacity of  $Dy_2Ce_2O_7$  ceramic at different temperatures is illustrated in Fig. 4, which indicates that the specific heat capacity increases gradually with temperature increasing. The specific heat capacity can be fitted as the following equation.

$$C_p(\mathrm{Dy}_2\mathrm{Ce}_2\mathrm{O}_7) = 0.35991 + 0.0001 \times T - 55.25098 \times T^{-2}$$
  
(Eq 3)

The thermal diffusivity of  $Dy_2Ce_2O_7$  ceramic decreases with increasing of temperature in the range between ambient temperature and 800 °C, i.e.,  $\lambda \propto T^{-1}$ , which is plotted in Fig. 5. The dependence of thermal diffusivity on temperature in this temperature range suggests a dominant phonon conduction behavior, which resembles most polycrystalline materials. The thermal conductivity of  $Dy_2Ce_2O_7$  ceramic is thus obtained according to Eq 1, and the result is shown in Fig. 6. It can be seen from Fig. 6 that the thermal conductivity of  $Dy_2Ce_2O_7$ decreases gradually with temperature increasing. The value of thermal conductivity of  $Dy_2Ce_2O_7$  at 800 °C is almost lower 20% than that of YSZ (about 2.15 W/m ·K at 800 °C). It is well known that thermal conductivity of  $CeO_2$  is higher than



Fig. 4 Specific heat capacity of Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub>



**Fig. 5** Thermal diffusivity of  $Dy_2Ce_2O_7$  as a function of temperature

that of YSZ at temperatures below 1300 °C (2.77 W/m·K at 1000 °C). The lower thermal conductivity of  $Dy_2Ce_2O_7$  can be explained in light of phonon theory.

To rationalize this result, the theory of heat conduction is considered. In electrical insulating solids, the thermal conductivity results from lattice vibrations or by radiation. The contribution to thermal conductivity from lattice vibration (k), the quanta of which are known as phonons, is given by:

$$k = \frac{1}{3} C_{\nu} l_{\rm p} \rho \nu \tag{Eq 5}$$

where  $C_v$  is the specific heat capacity,  $\rho$  is the density, v is the phonon velocity, and  $l_p$  is the mean free path for scattering of phonons.



Fig. 6 Dependence of thermal conductivity of  $\mathrm{Dy}_2\mathrm{Ce}_2\mathrm{O}_7$  on temperature

To reduce the intrinsic thermal conductivity of a material, reductions in the specific heat capacity, phonon velocity, mean free path, or density are needed. The specific heat capacity at constant volume  $(C_{\nu})$  for any system is constant at a value of  $3 \text{ kbN} \approx 25 \text{ J/K} \cdot \text{mol}$  above the Debye temperature. The value of v is related with elastic ratio (E) and density ( $\rho$ ), the effect of temperature on the elastic ratio and density is not obvious, so the value of v may be also regarded as a constant approximately. Consequently, the value of the thermal conductivity (k)is mainly decided by the law that the mean free path of phonon decreases with increasing temperature among most polycrystalline ceramic materials. In real crystal structures scattering of phonons occurs when they interact with lattice imperfections in the ideal lattice. Such imperfections include vacancies, dislocations, grain boundaries, atoms of different masses and other phonons. Ions and atoms of different ionic radius may also scatter phonons by locally distorting the bond length and thus, introducing elastic strain fields into the lattice. The effects caused by such imperfections can be quantified through their influence on the phonon mean free path  $(l_p)$ . This approach has been used by many workers, for which the phonon mean free path is defined by:

$$\frac{1}{l_{\rm p}} = \frac{1}{l_{\rm i}} + \frac{1}{l_{\rm vac}} + \frac{1}{l_{\rm gb}} + \frac{1}{l_{\rm strain}}$$
(Eq 6)

where  $l_i$ ,  $l_{vac}$ ,  $l_{gb}$ , and  $l_{strain}$  are the contributions to the mean path due to interstitials, vacancies, grain boundaries and lattice strain, respectively (Ref 25).

The decrease of the thermal conductivity due to the phonon scattering at grain boundaries is not expected in the case of the ceramic material investigated here. For a significant decrease in the high-temperature range, the average grain size has to be in the nanometer region, whereas the current specimen has grain size in the micrometer range. Also, radiation heat transfer can be neglected because the maximum temperature considered here is only 800 °C. Thus, the decrease in the phonon conductivity is assumed to result mainly from phonon scattering by point defect. The two types of point defects expected in the materials studied here are substitutional dysprosium solute cation replacing cerium and the corresponding oxygen vacancies created by the substitution of tetravalent cerium by a trivalent dysprosium cation. The defect chemistry due to co-doping can be represented using the Kröger–Vink notation by the following equation,

$$Dy_2O_3 \xrightarrow{CeO_2} 2Dy'_{Ce} + V''_o + 3O'_o$$
(Eq 7)

where  $Dy'_{Ce}$  represents an  $Dy^{3+}$  cation that occupies a  $Ce^{4+}$  cation site (single negative charge),  $V''_{o}$  is a doubly charged (positive) oxygen vacancy, and  $O_{o}^{x}$  is an  $O^{2-}$  anion on an oxygen site (neutral charge). The electric charges are defined with respect to the pure  $CeO_2$  lattice. Equation 7 shows that the higher the content of  $Dy_2O_3$  is, the more oxygen vacancies are created. The content of  $Dy_2O_3$  is 33 mol% in  $Dy_2Ce_2O_7$ , while that of  $Y_2O_3$  is only 4.02 mol% in 8YSZ. Clearly, the concentration of oxygen vacancies in  $Dy_2Ce_2O_7$  is significant higher than that in 8YSZ. Therefore, the thermal conductivity of  $Dy_2Ce_2O_7$  is lower than that of 8YSZ due to the scattering of the phonons by the oxygen vacancies.

In addition to the phonon scattering by the oxygen vacancies, another reason of low thermal conductivity of  $Dy_2Ce_2O_7$  is the scattering of phonons by the substitutional cation.  $Dy_2Ce_2O_7$  is a solid solute of  $Dy_2O_3$  in  $CeO_2$ . For substitutional atoms existing in the lattice of oxides, the mean free path of phonon  $l_p$  is given by (Ref 9),

$$\frac{1}{l_{\rm p}} = \frac{a^3}{4\pi\nu^4} \omega^4 c \left(\frac{\Delta M}{M}\right)^2 \tag{Eq 5}$$

where  $a^3$  is the volume per atom, v the transverse wave speed,  $\omega$  the phonon frequency, c the concentration per atom, M is the average mass of the host atom,  $M + \Delta M$  is the average mass of the solute atom. Equation 5 shows that the phonon mean free path is proportional to the square of the atomic weight difference between the solute and host (Ce) cations. Because atomic weight of Cerium and dysprosium is 141.2 and 162.5, respectively, the effective phonon scattering by Dy<sup>3+</sup> solute cations also contributes to the lower thermal conductivity of Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub>. Because of higher atom weight difference between dysprosium (162.5) and zirconium (91) in  $Dy_2Zr_2O_7$ , the effective phonon scattering in  $Dy_2Zr_2O_7$  is slightly higher than that in Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub>, which contributes to a lower thermal conductivity of Dy<sub>2</sub>Zr<sub>2</sub>O<sub>7</sub> compared to Dy<sub>2</sub>-Ce<sub>2</sub>O<sub>7</sub>. However, the thermal conductivity of Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub> still meets the basic requirement for TBCs. It is well known that low thermal conductivity is one of the most critical requirements for TBCs (Ref 26). The lower thermal conductivity of Dy<sub>2</sub>Ce<sub>2</sub>O<sub>7</sub> indicates that this ceramic material can be explored as novel candidate prospective ceramic materials for use in TBCs.

## 4. Conclusions

Single-phase  $Dy_2Ce_2O_7$  with fluorite structure was synthesized by solid state reaction between  $Dy_2O_3$ ,  $CeO_2$  powders at 1600 °C for 10 h. Thermal conductivity of  $Dy_2Ce_2O_7$  ceramic materials is lower than that of 8YSZ which means this ceramic can be explored as novel candidate ceramic materials for use in TBCs. The lower thermal conductivity can be attributed to the significant higher concentration of oxygen vacancies and the significantly larger atomic weight difference of the solute cations in this material.

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